Retirement Plan Services

Saving for retirement is not an overnight event. At Dynasty Advisors, we believe that a trusted, long-term relationship is the key to reaching your goals to help you and your employees save for retirement. We put our industry-focused experience, knowledge, and alliances with leading money managers, mutual fund and insurance companies and tax and plan administration specialists to work for you to create an attractive plan for your company and employees.

Designing and implementing an employer-sponsored retirement plan is a complex process that requires careful planning, execution and monitoring. Our unique process and team approach can assist in structuring and implementing a retirement program with your objectives in mind while providing a wide range of investment options supported with high quality service. Our goal is to provide professional and unbiased advice to ensure that your interests are best served.

Qualified Retirement Plan Design, Implementation and Education Services

401(k) – Traditional and Roth • Profit Sharing Plans

SEP-IRAs • SIMPLE-IRAs

403(b) – Traditional and Roth • Defined Benefit Plans

Cash Balance Plans • Nonqualified Deferred Compensation

The Dynasty Difference - Client-focused, objective and independent advice!

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The Dynasty Advisors Advantage

Solutions for your retirement plan needs

Retirement Plan Solutions	Services Provided
Employee Communication Programs Maximize participation levels by providing custom employee communication programs with measurable results	• Group individual enrollment meetings • Employee education programs • Investment advice • On-line tools • Participant account review
Plan Cost Analysis Comprehensive review of vendor services and fees	• Vendor contract review • Vendor pricing re-negotiation • Vendor analysis and benchmarking
Investment Analysis Monitor investment performance and fees	• Quantitative and qualitative analysis • Fee analysis • On-going fund monitoring • Automatic rebalancing
Plan Design & Operations Analysis Review operations of plan and monitor legislative changes	• 404(a) monitoring • Plan design review and analysis • Bonding requirements
ERISA and DOL Compliance Implement a due diligence process that will help protect fiduciaries from financial loss due to DOL and IRS penalties	• Investment policy statement • Investment due diligence • 404(c) compliance assistance • Documentation/Fiduciary Review • Fiduciary process

The Dynasty Advisors Advantage Plan Service Calendar

Meeting your compliance responsibilities while increasing participation and enhancing appreciation

Services Provided	Time Line
• Group individual enrollment meetings • Employee education programs • Investment advice • On-line tools • Participant account review	• As needed/minimum annually • As requested • As requested • Annually • Annually
• Vendor contract review • Vendor pricing re-negotiation • Vendor analysis and benchmarking	• As needed • As needed • Every 3 years
• Quantitative and qualitative analysis • Fee analysis • On-going fund monitoring • Automatic rebalancing	• Annually • Annually • On-going • On-going
• 404(a) monitoring • Plan design review and analysis • Bonding requirements	• Annually • Annually • Annually
• Investment policy statement • Investment due diligence • 404(c) compliance assistance • Documentation/Fiduciary Review • Fiduciary process	• Review Annually • On-going/Report Annually • On-going • On-going/Annually • On-going